



# Getting Started with SEP OmniLytics

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# How to get started?

#### Choose the right product



#### Need Help? Drop us a message!



# **A Quick Tour**

#### 1. Search for the patents you want to examine



#### 2. Define the data scope

SEP						JC DEMO 🔻 🗎	♠ <sup>3</sup> Ⅲ C
	Declaration Status	Include Only Newly De	clared SEPs; Release N/A, 15, 16,	17, 18; Declared from 2016-06-01; 5G;			
Overview	5G (NR) 🛛	5G ( NR + LTE ) 😧	4G ( LTE ) 🚱 🛛 🔽 N	ewly Declared 🛛 🗌 W	Videly Deployed 🕢	Well Maintained 🛿	Click to Edit
Company							
Profile	1,447	67	13,062	5G LTE	<u>15</u>	212	
Watchlist	from 3,328 (total)	from 121 (total)	from 29,661 (total)		RAN CT SA	from 1,224 (total)	
SEP Claim				Rank			
Charting	41,856	<u>29,49</u>	<u>94</u>	5,869 10	9, <u>548</u>	<u>71,529</u>	
						(III 44 Countries)	
	ETSI SEP Declarat	tion Pulse of the ETSI SEPs growing or de	clining?				
							3GPP Tech Bodies

#### Save more time with... Exclusive filters for identifying SEP Analysis Scope

SEP										
G ETSI SEP Overview	Declaration Status	Include All Declared S	EPs; Release 14, 15,	16; Declared to lewly Declared	o 2020-05-29; 5G; d 😧   🔲 \	Widely Deployed	d 😧 📔 🗌 Well Ma	intained 😧	Click to Edit	
Company Profile	Declarations 566 from 3,353 (total)	Declaring Company <u>40</u> from 121 (total)	Inventor <b><u>8,08</u></b> from 29,66	<b>34</b> 1 (total)	Radio Techno 5G 3G	ologies LTE 2G	3GPP Tech Bodies <u>15</u> RAN CT	SA	3GPP Specifications <u>138</u> from 1,232 (total)	
SEP Claim Charting	Simple Families <u>12,598</u> from 70,272 (total)	Essentiality Analysis <u>11,44</u> Simple Fan	s Scope 40 nilies	Essentiality I 1 Hig	Rank , 889 h Essentiality	<u>9</u> Low	, <mark>057</mark> Essentiality	Active P	Patents 47,742 (in 45 Countries)	

**1** 5G (NR) Landscape Filter



Which patents are declared as 5G (NR)?

The 5G (NR) Landscape filter includes only SEPs that meet the following criteria:

- 1. Patents that declared and correspond to Release 15 and after, or marked as unavailable (N/A)
- 2. Declared on or after June 1, 2016 (3GPP R15 starting date)
- 3. Radio Tech: 5G, indicating 5G-related TS





Which patents are declared as 5G (NR+LTE)?

The 5G (NR+LTE) Landscape filter includes only SEPs that meet the following criteria:

- 1. Patents that declared and correspond to Release 15 and after, or marked as unavailable (N/A)
- 2. Declared on or after June 1, 2016 (3GPP R15 starting date)
- 3. Radio Tech: 5G/LTE, indicating 5G/LTE-related TS

**3** 4G (LTE) Landscape Filter



Which patents are declared as 4G (LTE)?

The 4G (LTE) Landscape filter includes only SEPs that meet the following criteria:

- 1. Patents that declared and correspond to Release 8 and after, or marked as unavailable (N/A)
- 2. Declared on or after Jan., 23, 2006 (3GPP R8 starting date)
- 3. Radio Tech: LTE, indicating LTE-related TS

# **Quick Filter Definitions**

#### Save more time with... Exclusive filters for identifying SEP Analysis Scope



**4** Newly Declared Filter



#### Which patents are 5G-exclusive?

Over declaration is inevitable, as some SEP owners might declare a patent in 2G, 3G, LTE, and 5G. The **Newly Declared** filter can find patents that were not declared before. If used with a radio tech filter, you can find SEPs first declared in a certain radio technology generation.



Which inventions have the most coverage?

This filter includes only SEP families having active or pending members in 10 or more jurisdictions. The **Widely Deployed** filter highlights SEPs with high essentiality based on behavioral data of the patent owners.



✓ Well Maintained ②

Which patents are so valuable that their owners keep them well maintained?

This filter includes only SEP families without members abandoned, lapsed, withdrawn, or revoked (PCT applications are not considered).

The **Well Maintained** filter highlights SEPs with higher quality and essentiality based on behavioral data of the patent owners.

SEP OmniLytics' ETSI SEP Overview

### **Define the Data Scope**

SEP			Subscribe to SEP OmniLytics	帝寶訴訟文章資料蒐集 🔻 📜 💄	
Ø	Declaration Status	e All Declared SEPs; Release 14, 15, 16; Declared to 2020	0-05-29; 5G;		
ETSI SEP Overview	5G (NR) Ø 5G (NR+LTE) Ø	4G ( LTE ) 🛛 📔 🔲 Newly Declared 🚱 📗	Widely Deployed 🚱	Click to Edit	
Company Profile	Include All Declared SEPs; Release 14, 15, 16; Decl	ared to 2020-05-29; 5G; <u>Reset</u>		•	×
<b>—</b>	Release	Radio Tech	Tech Body	3GPP Spec	
Watchlist	14, 15, 16 👻	5G	<ul> <li>All Tech Body</li> </ul>	▼ All 3GPP Spec	•
	Declaring Company	Country	Legal Status	Select All Series Clear	-
	All Declaring Company	All Country	▼ All Legal Status	Search     Search	
	Essentiality Rank	TS Relevancy	Claim Scope Support	37 Multiple radio access technology	зgy
	All Essentiality Rank	All TS Relevancy	All Claim Scope Support	Bispects     Solution	nced,
				LTE-Advanced Pro radio technology	
	The latest declarations were declared on 2022-05-18.			⊕ 35 Security algorithms (3)	
	For more details about our dataset, please check <u>SEP OmniLyti</u>	<u>cs Data Quality Report</u>		34 UE and (U)SIM test specification	ons 📃
				33 Security aspects	
				32 OAM&P and Charging	
				<ul> <li>31 Subscriber Identity Module (SII USIM), IC Cards. Test specs.</li> </ul>	M/
				30 Programme management	

# Dashboard

#### Click on the information on the dashboard for a detailed list.

SEP							1
6	Declaration Status	Include All Declare	d SEPs; Release 14, 15, 16; Decla	red to 2020-05-29; 5G;			
ETSI SEP Overview	5G (NR) 😧 🗌 5G (	(NR + LTE ) 🕑 🗌 4G ( LTE	) 🕜 🗌 🗌 Newly Declare	d 😧 📔 Widely Deployed	d 😧 📔 Well Maintained	Click to Edit	i .
Company Profile	Declarations 566 from 3,353 (total)	Declaring Company <u>40</u> from 121 (total)	Inventor 8,08 from 29,661	Radio Technologies 5G LTE 3G 2G	3GPP Tech Bodies <u>15</u> RAN CT SA	3GPP Specification <u> 1388</u> from 1,232 (to	ons otal)
	Simple Families <u>12,598</u>					8,084	records ×
	from 70,272 (total)	1 CHEN WAN	APPLE INC ISHI ERICSSON QUALCOMM INC	APPLE INC TELEFONAKTIEB QUALCOMM INC	OLAGET LM ERICSS	118 688	2008
		2 GAAL PET	QUALCOMM INC	QUALCOMM INC		120 645	2004
		3 LUO TAO	QUALCOMM INC	QUALCOMM INC		112 630	2008
		4 NAGATA S	ATOSHI NTT DOCOMO	NIPPON TELEGR	& TELEPH CORP NTT	52 336	2009
		5 LI JUNYI	NOKIA CORP QUALCOMM INC	NOKIA CORP QUALCOMM INC		101 301	2008
				« < 1 2 3 4	> »		1

### **Top SEP Declaring Companies**

#### Click on a company name to examine the company's SEP profile.

SG (NR) SG (NR+LIE)	Include All Declared SEPs; Release 14, 15, 16; Declared to 2020-05-29; 5G;	Well Maintained 🚱	Click to Edit	=
Top SEP Declaring Compa Who is declaring SEPs? Partner or co	nies o mpetitor?			
Declaring Company				
Tan 20 Companies 7	tank in: Selected Period All Time in SEP			
Top 20 Companies	(# of simple families in this chart: 12,			
	· · · · · · · · · · · · · · · · · · ·	3.302	26.2% 32.668	
		1,995	15.8% 9,360	
SAMSUNG ELECTRONICS		<u>1,512</u>	12.0% 10,590	
LG ELECTRONICS		<u>1,436</u>	11.4% 11,912	
ERICSSON		<u>1,221</u>	9.7% 7,397	
	2	Subscribe to SEP OmniLytics	帝寶訴訟文章資料蒐集 ▼ 🗎	A 8
	) Back to Company List Include All Declared SEPs; Release 14, 15, 16; Declared to 24	020-05-29; 5G;		
SHARP CORP	iew         56 (NR) •         56 (NR + LTE) •         46 (LTE) •         Newly Declared •         []	Widely Deployed 🛛 🗌 Well Maintained	Click to Edit	
ОРРО	Back to Company List / QUALCOMM INC			
INTEL CORP	QUALCOMM INC     Ultimate Parent: Qualcomm Incorporated     Corporate Affiliates			
	) hist			
	Company Info As a Current Owner As a Decla	aring Company		
	Declarations Declaring Company Inventor	Radio Technologies 3GPP Tech B	addies 3GPP Specifications	As a De
CATT (DATANG)	51 1 1 007	5G LTE 1	0 61	Compa
INTERDIGITAL	<u>51</u> <u>1</u> <u>1,907</u>	3G 2G RAN G	CT SA 04	Pulse
BLACKBERRY UK	Simple Fecentiality Analysis Srone Fecentiality Pr	ank	Active Patente	Esser Analy
FUJITSU		283 2646	15,300	Inven
NEC CORP	<u>3,302</u> <u>3,154</u> <sub>High</sub>	Essentiality Low Essentiality	(in 35 Countries)	Techn
0				3GPP Bodie
	QUALCOMM INC SEP Declarations How actively does QUALCOMM INC declare its SEPs?			3GPP Specif
	Declaration Date 🖛		(# of simple families in this chart: 3,302)	
	1,500			
	1,250			
	1,250			
	1,250			
	1,250			
	1,250 1,000 750 500			
	1,250 1,000 750 500 250			
	1,250 1,000 750 500 250 0 2005 2006 2007 2008 2009 2010 2011 2012	2 2013 2014 2015 2016	2017 2018 2019 2020	
	1,250 1,000 750 500 250 0 250 0 2005 2006 2007 2008 2009 2010 2011 2012	2 2013 2014 2015 2016	2017 2018 2019 2020	
	1,250 1,000 750 500 250 0 2005 2006 2007 2008 2009 2010 2011 2012 Essentiality Analysis Are these declared SEPs essential? Can their essentiality be established through the auto	2 2013 2014 2015 2016	2017 2018 2019 2020	
	1,250 1,000 750 250 250 250 250 250 250 250 2	2 2013 2014 2015 2016	2017 2018 2019 2020	
	1,250 1,000 750 500 250 0 2005 2007 2008 2009 2010 2011 2012 Essentiality Analysis Are these declared SEPs essential? Can their essentiality be established through the autor 95.5% of all simple families are covered in this analysis High 9%	2 2013 2014 2015 2016	2017 2018 2019 2020	
	1,250 1,000 750 500 250 250 250 250 250 250 2	2 2013 2014 2015 2016	2017 2018 2019 2020	
	1,250 1,000 750 250 250 250 250 250 250 250 2	2 2013 2014 2015 2016 omated Claim Chart, or is additional evidence	required to prove essentiality?	
	1,250 1,000 750 500 250 250 2005 2006 2007 2008 2009 2010 2011 2011 Essentiality Analysis Are these declared SEPs essential? Can their essentiality be established through the auto <b>95.5%</b> of all simple families are covered in this analysis High 9% Medium 38.7%	2 2013 2014 2015 2016.	required to prove essentiality?	

### **Top Inventors from SEP Declarations**

Click the dropdown button to view the top inventors by their declaring companies.

Top Inventors from SEP Declarations Who are the most active inventors of SEPs?

Top 20 Inventors *	Rank in: Selected Period All Time in SEP (# of simple far					
SEO HANBYUL			Top #1~3 Declaring Com	npany		
LUO TAO						
		OUALCOMM INC	SAMSUNG ELECTRO	NICS	LG ELECTRONICS	
		QUALCOMMINING	SAMOONO EEEO INO		EG ELLOTIKONIOO	
	CHEN WANSH		KIM SOENGHUN	KI	IM KIJUN	
	GAAL PETE	R	AGIWAL ANIL	YI SEU	INGJUNE	
	LUO TA		OH JINYOUNG	PARK SU	UNG JUN	
	LI JUNY		KIM SANGBUM	LEE YOU	UNGDAE	
	XU HAI		YEO JEONGHO	CHUNG JA	E HOON	
	SON JIN	-		CHUN SUN		
	ZHANG XIAOXI			AHN IC	DON KUI	
	MONTOJO JUA		CHOI SEUNGHOON	KOHY	YUNSOO	
	SORIAGA JOSEP.		VAN LIESHOUT	YANG SU		
	ISLAM MUHAMM.		YU BIN	HAN SE	UNGHEE	
	MALLADI DURG.		KANG HYUNJEONG	PARK JON	NGHYUN	
	DAMNJANOVIC .		WANG HONG	KAN	G JIWON	
	BHUSHAN NAG.		CHANG YOUNGBIN	SEO DON	IG YOUN	
	JIANG JIN		PARK SUNGJIN	YI Y		
	HORN GAVIN BE.			LEE N		
	AKKAKAKAKAN . WELYONGRI		PARK SEUNCHO	JUNG SUN	KSEONG	
	KADOUS TAME		RYU HYUNSEOK	KIM BYOU	NGHO	
	LEE HEECHOOL		QIAN CHEN	KWON YEO	DNG H	
		0 250 500 750	0 100	200 300	0 100 20	00 300

### **SEP Declarations by Radio Technologies**

Click the dropdown button to view the detailed matrix chart for each radio tech vs. 3GPP tech body.

SEP Declarations by Radio Technologies

Which generation of radio technologies are involved in the SEPs? 5G or LTE?



### **Global Coverage of SEP Declarations**

Click on the numbers to get a detailed list of the corresponding SEPs.



### **SEP Declarations by 3GPP Tech Bodies**

Click the dropdown button to view the detailed matrix chart for each tech body vs. declaring company.



SEP OmniLytics' Company Profiles

# **Company Profile**

# On the upper left, you can switch between the Current Owner view and Declaring Company view of the SEP landscape.

SEP					JC E	DEMO 🔻 🗎 🏥
ETSI SEP Overview	1) @   🗹 Ne	wly Declared 🕢	Videly Deployed 🕢 🗌 Well Ma	intained  Click to Edit		
	Declaring Company					
Company Profile	Current Owner	is of each c	ompany.		(Patents in this chart are calc	ulated based on simple families
Watchliet						
Watermat	Declaring Company	<b>1</b>	31.475	<u>6,183</u>		
	Declaring Company	<b>E</b>	12,449	<u>4,095</u>		
			26.980	3.806		
			16,849	<u>3.607</u>		

#### **Company Info:**

Examine a company's affiliates and subsidiaries, along with each entities' 3GPP membership status.

# As a Declaring Company: Evaluate your own contributions by radio technology, working group, and specifications.

Understand the competition better by examining the working groups or specifications other companies are most focused on.

Company Info	As a Current Owner	As a Declaring Company

#### SEP Declarations by Radio Technologies

Which generation of radio technologies has HUAWEI been involved in? Proportion of SEPs involved?



SEP Declarations by 3GPP Tech Bodies

Which working groups has HUAWEI been involved in? Proportion of SEPs?



SEP Declarations by 3GPP Specifications

Which specifications has HUAWEI been involved in? Which technology?

3GPP Spec	;		
All 3GPF	Spec 🔻	(# of simple famil	ies in this chart: 6,416
	3GPP Spec  ♦	Spec Title	Simple Family
1.	TS 38 331	NR; Radio Resource Control (RRC); Protocol specification	<u>4,437</u>
2.	TS 38 211	NR; Physical channels and modulation	<u>4,096</u>
3.	TS 38 212	NR; Multiplexing and channel coding	<u>3,916</u>
4.	TS 38 213	NR; Physical layer procedures for control	<u>3,261</u>
5.	TS 38 214	NR; Physical layer procedures for data	<u>2,996</u>
6.	TS 38 300	NR; NR and NG-RAN Overall description; Stage-2	<u>2,850</u>
7.	TS 38 321	NR; Medium Access Control (MAC) protocol specification	<u>2,317</u>
8.	TS 38 322	NR; Radio Link Control (RLC) protocol specification	
9.	TS 38 101	NR; User Equipment (UE) radio transmission and reception;	
10.	TS 23 501	System architecture for the 5G System (5GS)	<u>970</u>
11.	TS 38 413	NG-RAN; NG Application Protocol (NGAP)	<u>761</u>
12.	TS 38 215	NR; Physical layer measurements	<u>754</u>
13.	TS 38 423	NG-RAN; Xn Application Protocol (XnAP)	<u>697</u>

# **Company Profile**

#### As a Current Owner:

**Know your portfolio** – global coverage, legal status, and remaining life of your SEPs.

# **Know others' portfolios** — where their main market is and how much longer they can assert their SEPs.

In these two dashboards, you can examine the market contribution of a company's SEPs and their legal status in each local market.



#### HUAWEI SEP Global Coverage

What are the key countries of HUAWEI's global patent portfolio?





(# of patent applications in this chart: 9,190)

Understanding SEP OmniLytics' Essentiality Rankings

## What is SEP OmniLytics' Essentiality Rankings?

To help alleviate the <u>over declaration issue</u> with standard essential patents, InQuartik's Team has come up with **Essentiality Rankings** to conduct preliminary discernment of SEP essentiality.



SEP OmniLytics' Essentiality Rankings is a validation indicator based on the results of claim chart mapping. The rankings are given according to the degree of relevancy found by mapping a declared SEP's independent claims to its specification or the 3GPP TS in its declaration.

In other words, **the calculation is based on each independent claim**. The highest-ranking result is selected to represent the Essentiality Ranking of a declared SEP.

SEP OmniLytics' Essentiality Rankings reflects how evident a declared SEP's essentiality is through literal mapping (seen in SEP OmniLytics' claim charts).

A higher ranking indicates that a claim chart can be created through literal mapping. On the other hand, a lower ranking suggests that the SEP-at-issue may need more testification from experts or further citations and references.

A few notes on SEP OmniLytics' statistics and scope:

- SEP OmniLytics' statistics are based on the ETSI SEP Declarations; therefore, the scope of calculation is based on declared SEPs.
- Mapping of the claims is limited to the TS in a SEP's declaration, using only the latest claim. I.e., we only select one public patent or one publication for mapping.
- SEP OmniLytics' data scope for Essentiality Rankings only includes English patents from US, EP, and WO.

### How do Essentiality Rankings work?

SEP OmniLytics' Essentiality Rankings are derived from the combination of two indicators

- TS Relevancy and Claim Scope Support.



### How is Claim Chart Mapping Done?

#### What is mapped?

TS Relevancy – a SEP's independent claims vs. a declared TS

Claim Scope Support - a SEP's independent claims vs. its patent specification



#### Mapped keywords include critical keywords and general keywords.

- Critical keywords indicate terms, abbreviations, and related terms that can be found and defined in 3GPP technical specifications.
- General keywords are other technical terms that can be found in patents.



For both the TS Relevancy and Claim Scope Support indicators, **the mapping scope is the paragraphs in a subclause** and not the entire subclause. This can ensure that the claim terms mapped are not evenly dispersed in each paragraph of a subclause but need to be found in a specific or adjacent paragraph to indicate relevancy.

## How are SEPs ranked with Essentiality Rankings?

SEP OmniLytics' Essentiality Rankings include High, Medium, and Low rankings for the ETSI-declared SEPs in our database. A "High" ranking can only be derived from "High" rankings for both the TS Relevancy and Claim Scope Support indicators.



As the Essentiality Rankings are derived from the TS Relevancy and Claim Scope Support indicators, here is how the two indicators are calculated and ranked.

#### **TS** Relevancy

An independent claim ranks "High" for TS Relevancy indicates that over 90% of its claim terms can be found in its corresponding TS through claim chart mapping. As for a "Partial" ranking, only two-thirds to 90% of the claim terms can be successfully mapped.

TS R	Relevancy
Panking	% of Claim Terms
Kalikilig	Mapped
High	> 90%
Partial	66.6% ( <del>¾</del> ) ~ 90%
Low	0% ~ 66%

#### Claim Scope Support

An independent claim ranks "High" for Claim Scope Support indicates that 100% of its claim terms can be found in its specification through claim chart mapping.

#### Claim Scope Support

Danking	% of Claim Terms		
Ranking	Mapped		
High	100%		
Partial	80% ~ 99%		
Low	< 80%		

# **Viewing the Essentiality Indicators and Automated Claim Charts**

#### Find the list of patents by clicking on the corresponding bar or group in the 1. **Essentiality Analysis Chart.**



2.	Click on any of the the indicator rankings on the patent list to view the
	Claim Chart Summary page for a specific SEP.

$\exists $									EE
	۵	#	Patent No.	Title	Essentiality Rank	TS Relevancy	Claim Scope Support	Ind. Claim	TS (Version)
		1	W02022/046331A1	SPATIAL MEASUREMENTS ASSOCIATED WITH TRACKING REFERENCE SIGNALS	<u>High</u>	High	High	#16, #80	TS 38 214 (R17) TS 38 331 (R17)
		2	<u>US20220052906A1</u>	TECHNIQUES FOR RADIO LINK FAILURE RECOVERY AND BEAM FAILURE RECOVERY ON SECONDARY CELL GROUP IN DORMANCY STATE	High	High	High	#28	TS 37 340 (R17) TS 38 331 (R17)
		3	<u>US20220053329A1</u>	TRIGGERING OF UNMANNED AERIAL VEHICLE AUTHENTICATION AND AUTHORIZATION PROCEDURE	High	High	High	#1, #8, #16, #23	TS 23 501 (R17) TS 23 502 (R17) TS 23 503 (R17)
		А	10000004570041	TECHNIQUES FOR MANAGING BEAMS IN	TRAK	1 Bala	1 Bak	#7£	TC 20 122 /D17\

# Viewing the Essentiality Indicators and Automated Claim Charts

3. The Claim Chart Summary page shows how each independent claim in this patent is ranked. Use the dropdown menu to select a corresponding document/Technical Specifications for claim chart mapping.

# 4. Click on any of the rankings (High, Partial, Low) to view the Automated Claim Charts.

The High, Partial, and Low rankings seen on this page are determined using the same criteria mentioned on page 20, except that the scope is of each claim element. The ranking for an entire independent claim is determined by taking the minimum value of the claim element, which highlights the elements where any vulnerabilities may be found in a SEP.



	3GPP rechnical specification of Patent specification
Claim Element #1.05	TS 23 501         Image: All High (0)         Partial (10)         Low (0)
the MTC small data transfer capability including the capability to send user data via a control plane ; Keywords	When the DNN based congestion <b>control</b> is activated at AMF, if the UE indicates that the NAS SM message in t UL NAS Transport message is exempted from NAS congestion <b>control</b> , the AMF shall not apply DNN based congestion <b>control</b> on the UL NAS Transport message and shall forward the NAS SM message to the corresponding SMF with an indication that the message was received with exemption indication.
Critical Keywords 🙆 🔺 🔨	The SMF evaluates whether the NAS SM message is allowed to be exempted from DNN based congestion control.
user data@ data@ MTC@	If it is not, the SMF rejects the message, e.g. the SMF shall reject PDU Session Modification received if it is not f Data Off status reporting).
General Keywords 🛛 🔹 🔨	The UE shall maintain a separate back-off timer for each DNN that the UE may use.
capability transfer capability send	To avoid that large amounts of UEs initiate deferred requests (almost) simultaneously, the 5GC should select th back-off timer value so that deferred requests are not synchronized.

# SEP Claim Charting —

Uploading and Mapping Your Own Claims to Technical Specifications

# **SEP Claim Charting**

Upload and map your own claims to any 3GPP technical specifications/reports with the SEP Claim Charting feature.

#### Input claim contents :

- 1. Click the " + Create" button on the upper left to open a pop-up window.
- 2. Enter the title and any claim into the text boxes and click "Continue."

SEP				Subscribe to SEP OmniLytics Karen 75k backstage 💌	
	SEP Cl	aim Charting	Find the most relevant standard to assess essentiality.		
ETSI SEP Overview	+ Create				
Company Profile	± Downloac	Create			×
[[	#	Title:	My new claim#1		
Watchlist		Claim:	A method for wireless communication at a user equipment, the method cor (RACH) configuration for the service from a set of RACH configurations for service according to the first RACH configuration.	mprising: identifying a service; selecting a first random access channel ' a plurality of groups of services; and conducting a RACH operation for the	
			* English only / Enter at least 100 characters	Character limit: 343 / 100	00
				Continue	

Note: Please enter English claims only and at least 100 characters. (Character limit: 10,000)

# **SEP Claim Charting**

#### **Scope Settings**

To define the mapping scope, select the scope or the desired 3GPP technical specifications/reports to map the claim to and the number of results to be displayed.

#### Click "More+" for more settings. Click "Confirm" after confirming the settings.

Create				×
Scope Settings Release 15, 16, 17, 18 Publication date from 2016-06-01 More +	Publication date t	to Tech Body All Tech Pody	Type  Technical Specification (TS)  Technical Report (TR)  Version Latest Most relevant	
Display results: Show	All 3GPP Spec	S S	•	
$\phi$ Reset to default				Back

# After clicking "Confirm," the mapping will start and the following system message will appear:

System Messages	×
The job will be completed in the background.	
	Confirm

#### You can see the finished or processing claim charts on the dashboard.

		SEP C	laim Charting	Find the most rele	vant standard to assess es	sentiality.					
ETSI SEP Overview	+	Create									
Company Profile	± Do	wnload	🗊 Delete					Number of	Claim Charts:2/150	Search	Q
n n		#	Title	\$	TS Relevancy	TS (Version)		Date Created \$	Last Modified	¢	
watchilst		1	My new claim#1	4	Processing	-		2022-08-01	2022-08-01		
SEP Claim Charting		2	123	_	<u>High</u>	TR 38 821 (16.1.0)	+9	2022-08-01	2022-08-01		

# **SEP Claim Charting**

#### Viewing the Mapping Results

The list will show the TS Relevancy ranking and the technical specifications/reports that are most relevant to the uploaded claim. Hover over the number by the TS (version) to view the list of the most relevant technical specifications. The number of TS/TR displayed will be according to the display settings you set (either the top 3 or 10 documents).

# Click on the setting icon on the right to modify the current claim's contents and scope.\*



#### Viewing the Claim Chart Summary

Click on the TS Relevancy ranking (<u>High</u>/<u>Partial</u>/<u>Low</u>) to view the Claim Chart Summary for the TS relevancy rankings generated by mapping the claim to each most relevant TS/TR.

Claim C Which in My new	Chart Summa adependent cla claim#1 @ nge Scope	ary aims are e	incluc	an sele le in th led in t	ct which t e summai he mappi	technio ry. (No ng sco	cal specific te: Only TS pe can be s	ations 5/TR a selecte	s/reports to Evaluation Basis: Version info. in the SEF Iready ed.)	declaration 😧
<u>TS 28 5</u>	<u>552 (17.6.0)</u>	High	<u>TS 36 300 (17.0.0)</u>	High	<u>TS 38 300 (17.0.0)</u>	High	<u>TS 25 224 (17.0.0)</u>	Medium	<u>TS 25 305 (17.0.0)</u> Medium	
Overall	<u>▼</u>		<u>13 30 133 (17.3.0)</u>	Medium	<u>13 30 33 1 (17.0.0)</u>	Medium	<u>1330423 (17.0.9)</u>	Weddun	<u>13303213(10.120)</u> weaking 1	
Esse -	entiality Rank 😡		TS Relevancy 🕑 High	Cla -	im Scope Support (	0	Claims A method for	wireless co	mmunication at a user equipment, the method comprise	ing:
Clair	ms #	TS Releva	ancy	Claim Scope Support			for the servic	identifying a service; selecting a first random access channel (HACH) configuration for the service from a set of RACH configurations for a plurality of groups of services; and conducting a RACH operation for the service according to the first RACH		
Clai	ims # 1	High		-	^		configuration	1.	r	
Cla	ims #1.01	<u>High</u>		-						
Claims #1.02 N/A - Insufficient for evaluation -				•						
Cla	ims #1.03	<u>High</u>		-						
Cla	ims #1.04	<u>High</u>		-						
Cla	ims #1.05	<u>High</u>		-						

\*If you change the contents or scope of an existing claim chart, the new claim will be re-mapped and may take some time.

# Essentiality Validation, Data Status, & Definitions

# Validation of SEP OmniLytics' Essentiality Rankings

Of the 55,003 patents involved in U.S. patent infringement lawsuits between 2012/01/03 ~ 2022/02/28, 563 patents are SEPs.

Of the 8,565 patents asserted in IPRs petitioned from 2012/09/16 to 2022/03/01, 222 patents-in-suit are SEPs.

Essentiality Rankings — TS Relevancy	High
SEPs involved in U.S. litigation (563 SEPs)	29.7%
SEPs involved in IPRs (222 SEPs)	31.78%
*All SEPs	14.63%

\*All SEPs indicate the number of independent claims of granted SEPs and not the number of applications

The percentage of SEPs with high TS Relevancy more than doubles in infringement and IPR cases, compared to the percentage of all high TS Relevancy SEPs.

### SEP OmniLytics' Data Completeness and Timeliness

#### Data Completeness

InQuartik's team strives to provide our clients with the most comprehensive ETSI SEP data. We work to maintain a **Disclosure Rate** of 97%<sup>\*</sup> and above, with 99% as our goal.

To do this, we filter out the noise (or dirty data) from the raw data retrieved from the ETSI IPR database.

We first exclude disclosures with non-3GPP-related/withdrawn technical reports/specifications or disclosures with missing technical reports/specifications information from the disclosures extracted from the ETSI IPR database to obtain **Qualified 3GPP-related disclosures**.

The **Effective disclosures adopted in SEP OmniLytics** are SEP disclosures that correspond to the numbers in Patentcloud's database and have undergone IPC testing to confirm no misclassification or error has occurred.

Here is how our Disclosure Rate is calculated:

# Effective disclosures adopted in SEP OmniLytics

#### **Disclosure Rate =**

#### **Qualified 3GPP-related disclosures**

We have also excluded the following from SEP OmniLytics:

- Disclosures with patent numbers inferred as U.S. provisional patents
- Disclosures with patent numbers inferred as unpublished applications\*\*
- Non-patent-related disclosures

\* Immediate action will be taken if the disclosure rate drops below 97%.

\*\* Unpublished applications are continuously monitored for new updates to their statuses.

#### **Data Timeliness**

We update declaration documents from the ETSI IPR Declaration Database on a weekly basis.

Please see our data status page (updated daily) for the most updated Disclosure Rate and numbers.

## SEP OmniLytics' Definitions

#### Family Definition

SEP OmniLytics uses the EPO DOCDB Simple family definition of "... a collection of patent documents that are considered to cover a single invention."

SEP OmniLytics uses simple families as the basic unit since a patent that consists of the same technical content as a declared SEP implies that the patent is also a SEP. Also, a SEP's essentiality which can be proven through a Claim Chart does not necessarily indicate that its family members can also be proven thuswise. This also applies to the indirect priority of the patents.

Therefore, only the simple family of a SEP is considered in each SEP disclosure and not <u>INPADOC</u> <u>extended families</u> (defined as "A collection of patent applications covering similar technical content"). In data adoption, all of the considerations listed here aim to retain data integrity and prevent fueling the over-declaration situation.

#### 5G/LTE Definition

In SEP OmniLytics, the criteria for 5G/LTE SEP landscape includes only SEPs that meet the following criteria:

1. The technical specification must include at least one 3GPP specified 5G or LTE technical report/specification.

2. The SEP must have relevant release info:



3. The SEP must be declared after the starting date of R15 for 5G (2016-06-01), and R8 for LTE (2006-01-23).



For more details: <u>https://app.patentcloud.com/data-status.html?tab=SepDataQuality</u>

### Most up-to-date Patent Status and Assignee Status

#### **Patent status**

#### Legal status coverage (65 jurisdictions)

CN, JP, US, EP, KR\*, WO\*, AE, AM, AP, AT\*, AU\*, BE\*, BG, BR\*, BY, CA\*, CH, CZ\*, DE\*, DK\*, DZ, EC,
EG, EM, ES\*, FI\*, FR\*, GB\*, GC, GR\*, HK\*, HN, HU\*, ID, IL\*, IN, IT\*,KE, MC\*,MN, MO, MT, MW, MX\*,
MY, NL\*, NO\*, NZ\*, OA, PA, PH\*, PL\*, PT\*, RU\*, SA, SE\*, SG, SM, TH, TJ, TW\*, UA, VN, ZM, ZW

• From local patent offices (11) \* From INPADOC (31)

#### **Assignee Status**

#### **Current assignee (24 jurisdictions)**

CN, JP, US, EP, AT, AU, BE, BR, CH, DE, ES, FI, FR, GB, HK, HU, IL, MX, NL, NO, NZ, PL, PT, TW

For more details about the collection and definitions of our <u>Legal Status Data</u> and <u>Patent</u> <u>Transactions</u>, please see the <u>Timely Data Completeness</u> webpage. Setting Up and Managing Watchlists

### **Setting Up a Watchlist**

- 1. Use the "Click to Edit" link to open the dropdown menu for the filters .
- 2. Select the set of filters you want to save as a watchlist.

3. Then click on the "+ Save to Watch" to save the current set of filters as a watchlist.

Declaration Status Include All Declared SEPs; Release 14, 15, 16; Declared to 2020-05-29; 5G;										
Include All Declared SEPs; Release 14, 1	5, 16; Declared to 2020-05-29; 5G; <u>Reset</u>					×				
Release	Radio Tech		Tech Body		3GPP Spec					
14, 15, 16	▼ 5G	-	All Tech Body	•	All 3GPP Spec 🔹					
Declaring Company	Country		Legal Status		Declared from Declared to					
All Declaring Company	<ul> <li>All Country</li> </ul>	•	All Legal Status	•	☆ 2020-05-29					
Essentiality Rank	TS Relevancy		Claim Scope Support		Specs Included in Claim Chart					
All Essentiality Rank	<ul> <li>All TS Relevancy</li> </ul>	•	All Claim Scope Support	•	All Specs Included in Claim Chart					
'he latest declarations were declared on 2022-05-1 For more details about our dataset, please check §	18. EP OmniLytics Data Quality Report				+ SAVE TO WATCH SUBMIT					

### **Managing Your Watchlists**

- 1. By selecting the Watchlist page on the left, you can see and manage your saved watchlists.
- 2. By clicking the "Default" button, you can set a specific set of filters as your default dashboard.
- 3. You can also set up the time and frequency of updates, which will be emailed to you.

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				Include Only Newly Declared SEPs: Release					

Note: Watchlists can be set up for Declaration Status or Company Profile. Switch between the two using the tabs at the top of this page.

# More Tools for Working With Patents —

Patent Validity Check, Portfolio Analysis

### Export SEP Data for Further Analysis – Patent Vault



#### Dive deeper with Patent Vault

Directly import the selected standard essential patents into <u>Patent Vault</u> for more operations such as: saving the patent portfolio into customizable folders for clearer organization, conducting patent analysis with the PatentMatrix Dashboard, and sharing the analysis with your colleagues.

Simply click on any number or point from within the charts to bring up the patent list, select the patents that you need and click "Save to Patent Vault" at the top left corner of the pop-up window.

You can customize the folder structure by year, company, or any other categorization type you require.



### Check Patent Info – Patent Page

#### Check patent details

Want to find out more about a patent's litigation history or ETSI declaration info? Click on a patent number in any of *SEP OmniLytics*' patent lists to instantly access <u>Patent Search</u> for more data.



### Check for Validity Issues – Quality Insights



#### Check for any validity issues in a patent

You can obtain an assessment of a patent's quality using <u>Quality Insights</u> — a one-click solution to examine a patent's history, claim scope changes, and potential prior art references that can be used to challenge or invalidate the patent.

Simply proceed to *Quality Insights* from a patent's page in *Patent Search* or search for a specific patent on *Quality Insights* main page.

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### Analyze a SEP portfolio — Due Diligence



#### Obtain an evaluation report with just one-click

After importing a patent portfolio to *Patent Vault*, you can get a comprehensive evaluation of the patent portfolio with <u>Due Diligence</u>.

Simply use the "Patent Vault" tab in *Due Diligence* to access the folders in *Patent Vault*, and select the patent portfolio that you want to analyze.

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### Analyze a SEP portfolio — Due Diligence



#### With Due Diligence, you can examine a patent portfolio's:

- Coverage, legal status, ownership status
- Transaction and litigation history
- Technology fields
- Quality and value assessment
- Potential monetization/litigation targets



Everything you need to know about a patent portfolio and for future utilization!

# SEP OmniLytics' Tutorial Videos



Declaration Overview



Company Profile



Essentiality Rankings



Visit our <u>Help center</u> for more information! If you have any more questions, <u>Contact Us</u>!

# Thank You!

If you have any questions, please <u>contact us</u>!



